

**Part 2A of Form ADV: Firm Brochure  
Item 1 Cover Page**



**Robin Capital Group LLC**

**11 Island Ave, Unit 1107  
Miami Beach, Florida 33139**

**[www.robincapitalgroup.com](http://www.robincapitalgroup.com)**

This brochure provides information about the qualifications and business practices of Robin Capital Group LLC. If you have any questions about the contents of this brochure, please contact us at 305-905-4969 and/or [info@robincapitalgroup.com](mailto:info@robincapitalgroup.com).

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority. Additional information about Robin Capital Group LLC also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. Our Firm's CRD number is 312956.

Robin Capital Group LLC's registration as an investment adviser does not imply a certain level of skill or training.

Effective Date: March 31, 2026

## **Item 2 Material Changes**

### **Summary of Material Changes**

This section will be updated as required in the event any material changes are made to the Robin Capital Group LLC Firm Brochure (the “Brochure”). The following material changes have been made since the last annual update of this Brochure dated March 31, 2025: (1) Ashley Elizabeth Schott has joined the Firm as Managing Director and Chief Compliance Officer effective January 1, 2026; the CCO role has transitioned from Nicolas Diaz to Ms. Schott. (2) Mr. Diaz’s title has been updated to Managing Member, Founder and Chief Investment Officer. (3) The Firm’s recommended minimum account size has been updated to \$250,000 (previously \$50,000). (4) New outside business activity disclosures for Mr. Diaz have been added in Item 10 regarding Rookery Management Group, Nezumia LLC, and Everwood USA. (5) The Firm’s regulatory assets under management as of December 31, 2025 were approximately \$25,000,000 across 95 discretionary accounts. (6) New conflict of interest disclosures regarding Mr. Diaz’s personal accounts have been added to Items 11 and 12.

### **Delivery Requirements**

We will provide a summary of any material changes to this Brochure to our clients at least annually, within 120 days of our fiscal year end. Furthermore, we will provide our clients with other interim disclosures about material changes as necessary.

A complete copy of our current Form ADV Part 2A and/or 2B may be requested free of charge by contacting us by telephone at 305-905-4969 or by email at [info@robincapitalgroup.com](mailto:info@robincapitalgroup.com).

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## Item 4 Advisory Business

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### FIRM DESCRIPTION

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Robin Capital Group LLC (hereinafter referred to as “Robin Capital,” “we,” “us,” or “our Firm”) is a Florida registered limited liability company with its principal office located in Miami Beach, FL. The Firm was founded in 2020 by Nicolas Diaz, who serves as Managing Member, Founder, and Chief Investment Officer. Ashley Schott, Managing Director and Chief Compliance Officer, joined the Firm in January 2026 and is responsible for the Firm’s compliance program, regulatory affairs, and business operations. Mr. Diaz and Ms. Schott are married. This relationship is a potential conflict of interest with respect to the independence of the compliance function; the Firm’s written procedures addressing this conflict are described in Item 11 of this Brochure.

As a registered investment adviser, we are a fiduciary to you, our client, meaning we have a fundamental obligation to act and provide investment advice that is in your best interest. Should any material conflicts of interest exist that might affect the impartiality of our investment advice, they will be disclosed to you in this Brochure. We urge you to review this Brochure carefully and consider our qualifications, business practices, and the nature of our advisory services before becoming our client.

As of December 31, 2025, Robin Capital Group LLC manages approximately \$24,800,000 in assets on a discretionary basis. The firm does not have assets under management on a non-discretionary basis. Clients may contact our Firm for more recent information.

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### ADVISORY PROGRAMS

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Robin Capital provides investment management services to our clients. In connection with our investment management services, Robin Capital provides advice with respect to a variety of asset classes including stocks, ETFs, mutual funds, fixed income products, municipal securities, futures, limited partnerships, certificates of deposits, derivatives, warrants and options. Our advice is generally limited to these types of investments, but we reserve the right to advise or not advise our clients on certain investments should we deem it appropriate based on their particular circumstances.

Robin Capital offers two types of portfolios to clients: **RCG Discretionary Strategies** and **Customized Portfolio Solutions**. Robin Capital’s advisory services are tailored to the needs of our clients based on their individual investment objectives, risk tolerance, cash or income needs, and any investment restrictions. Although Robin Capital seeks to accommodate any reasonable investment restrictions or guidelines set by our clients, we may decline to accommodate certain investment restrictions that are incompatible with our Firms’ investment philosophy or that may have an adverse effect on our ability to manage client account.

To ensure that our initial determination of an appropriate portfolio remains suitable and that the account continues to be managed in a manner consistent with the client’s investment guidelines, we will provide an annual report to our clients in terms of portfolio construction, transactions and performance.

Robin Capital enters into formal written agreements with our clients setting forth the terms and conditions under which we will provide our advisory services (the “Investment Management Agreement”). The Investment Management Agreement sets forth the scope of the services to be provided and the compensation we receive from the client for such services. The Investment Management Agreement may be terminated by either party in writing at any time by giving thirty (30) days signed written notice to the other party.

Our advisors offer the advisory service described below to our clients:

**Investment Management.** Robin Capital provides investment management services on a discretionary basis to clients. Discretionary authority means clients grant our Firm the ability to utilize discretion in managing their investment account(s). Specifically, the client grants our Firm full power to direct, manage, and change the investment and reinvestment of the assets in the account, the proceeds and any additions. Our authority over clients’ investments includes discretionary authority to purchase and sell securities for the client’s account and to give instructions concerning these transactions to the qualified custodian with which the clients’ accounts are held. We are not required to first consult with clients before placing any specific order or obtain specific authorization from the client for each specific transaction. We receive discretionary authority from our clients through our advisory agreement at the outset of our advisory relationship. We do not manage assets on a non-discretionary basis.

**Important Note:** It is the client’s responsibility to ensure that Robin Capital is promptly notified if there are ever any significant changes to their financial situation, goals, objectives or needs so we can review our previous recommendations and make any necessary adjustments.

Robin Capital does not participate in any wrap fee programs.

## Item 5 Fees and Compensation

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### ADVISORY FEES

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The following information describes how Robin Capital is compensated for the advisory services we provide to our clients. The specific manner in which fees are charged and the compensation we receive may differ between clients depending upon the individual Investment Management Agreement with each client. Robin Capital reserves the right to negotiate our compensation with clients depending on the scope of our advisory relationship, and we may charge higher or lower fees than are available from other Firms for comparable services. Robin Capital has the general discretion to waive all or a portion of our fees.

In consideration for providing investment management services and pursuant to the Investment Management Agreement with the client, Robin Capital offers a number of fee options. Please refer to the following fee schedule:

FEE SCHEDULE						
RCG Discretionary Strategies					Customized Portfolios	
Non-Qualified Clients		Qualified Clients			All Clients	
AUM	Management Fee Rate	AUM	Management Fee Rate	Performance Fee Rate	AUM	Management Fee Rate
		\$1.1M to \$5M	1.25%	18%		
Minimum \$250,000	1.75%	Above \$5M	1.00%	15%	Minimum \$250,000	2.25%
<ul style="list-style-type: none"> <li>• Management fee is an annualized asset-based fee, based on the client's quarterly net asset value ("NAV") as valuable by the qualified custodian.</li> <li>• Performance fee is a share of the profits in the client's account, as valued by the qualified custodian.</li> <li>• Management fees are calculated daily but billed and payable <b>quarterly in arrears</b>.</li> <li>• Performance fees are calculated and charged <b>annually in arrears</b>.</li> <li>• Only <b>Qualified Clients</b> will be charged a performance fee. Please refer to Item 6 Performance -Based Fees for more information.</li> <li>• Fees are negotiated based on factors including size of the investment, complexity of the portfolio, or prior relationship with our Firm.</li> </ul>						

Clients authorize the deduction of the fees from their managed accounts by the qualified custodian. Robin Capital does not withdraw fees directly from client's accounts. The qualified custodian will automatically calculate the fees, deduct them from client's accounts, and pay to Robin Capital as compensation for our advisory services. We will instruct the qualified custodian(s) to send clients invoices detailing the advisory fees automatically calculated and deducted from their accounts when those fees are actually charged. These notices describe the method used to calculate the fee, the amount of the fee and the period covered by the fee. Clients provides the fee authorization when they open an account. Please refer to Item 15 Custody of this Brochure for more information about the qualified custodian.

**Additional Fees and Expenses.** Clients will incur transaction charges and/or brokerage fees when purchasing or selling securities. These charges and fees are typically imposed by the broker-dealer or qualified custodian through which account transactions are executed. For more information on our brokerage practices, please refer to Item 12 (Brokerage Practices) of this Brochure.

The fees that clients pay to our Firm for investment advisory services are separate and distinct from the fees and expenses charged by third parties. To fully understand the total costs associated with their investment portfolio, clients should review all the fees charged by our Firm and others.

**Termination.** The Investment Management Agreement with our clients may be terminated by either party at any time upon thirty (30) days written notice. Upon termination of our status as the client's investment adviser, Robin Capital will not take any further action with respect to the client's account(s) unless specifically notified by the client in writing. Clients will be responsible for instructing their custodian and monitoring their account for the final disposition of assets.

**Refunds.** Upon receipt of a proper notice of termination from the client, as described in the Investment Management Agreement, any earned unpaid fees will be billed on a pro-rata basis based on the amount of work performed by us up to the point of termination.

**Brokerage Commissions.** Robin Capital does not receive brokerage commissions from the sale of securities or other investment products. Our compensation for recommending securities and investment products is limited to the advisory fees described above.

Any material conflicts of interest between clients and Robin Capital or our employees are reasonably disclosed in this Brochure. If at any time, additional material conflicts of interest develop, Robin Capital will provide our clients with written notification of those material conflicts of interest or an updated Brochure.

## **Item 6 Performance-Based Fees and Side-by-Side Management**

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### **PERFORMANCE BASED FEES**

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Robin Capital may enter into performance-based fee arrangements with “qualified clients<sup>1</sup>,” which includes individuals with more than \$1.1 million AUM with the Firm and individuals with a net worth of \$2.2 million (excluding one’s primary residence).

Robin Capital participates in side-by side management, as we manage both accounts that are charged a performance-based fee and accounts that are charged an asset-based fee. Conflicts of interest occur when we manage these accounts at the same time. For example, we have an incentive to favor accounts for which we receive a performance-based fee over accounts for which we receive an asset-based fee. In addition, Robin Capital has incentive to place riskier based securities in effort to accrue higher performance compensation for which we receive a performance-based fee over accounts for which we receive an asset-based fee. To address these conflicts and uphold our fiduciary duty to all of our clients, Robin Capital has adopted policies and procedures in an effort to treat both types of accounts equally in addition to adhering to individual client objectives and risk tolerance.

## **Item 7 Types of Clients**

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### **TYPES OF CLIENTS**

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Robin Capital offers investment advisory services to individuals and high net worth individuals, and businesses. Client relationships may vary in scope and length of service.

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<sup>1</sup> 69W-200.002 of the Florida Administrative Code ; See also 17 CFR §275.205-3 – Exemption from the compensation prohibition of section 205(a)(1) for investment advisers.

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## ACCOUNT REQUIREMENTS

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Robin Capital generally requires a minimum account balance of \$250,000 for our investment management services. We may waive all or a portion of this requirement at our discretion, including for sub-advisory relationships and existing clients. For performance-based fee arrangements, clients must meet the definition of a “qualified client” as defined under Rule 205-3 of the Investment Advisers Act of 1940, as amended (clients having a net worth greater than \$2,200,000 or for whom we manage at least \$1,100,000).

## Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

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### METHODS OF ANALYSIS

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The securities analysis methods employed by Robin Capital may include fundamental and quantitative analysis. Our main sources of information include market and financial data provided by third-party vendors, academic finance journals, non-published papers written by finance professors and finance practitioners, financial newspapers and magazines, research materials prepared by others, corporate rating services, timing services, annual reports, prospectuses, filings with the SEC, company press release and online securities information resources, such as, but not limited to, Morningstar.com.

**Fundamental analysis** concentrates on factors that determine a company’s value and expected future earnings. The fundamental analysis of a business involves analyzing its financial statements and health, management and competitive advantages, and competitors in the market. Fundamental analysis maintains that markets may misprice a security in the short run, but that the correct price will eventually be reached by the market.

**Quantitative Analysis** applies mathematical and analytical methods to develop quantitative models for selecting stocks that will outperform the overall market. We may also overlay macro-economic indicators to assess growth and inflation within the economic cycle. We also examine quantitative measures from the financial market to synthesize the portfolio construction process.

Our methods rely on the assumption that the entities and whose securities we purchase and sell, the rating agencies that review these securities, financial data provided by third-party vendors, and other publicly available sources of information about these securities, are providing accurate and unbiased data. While we are alert to indications that data may be incorrect, there is always a risk that our analysis may be compromised by inaccurate or misleading information.

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### INVESTMENT STRATEGIES

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Robin Capital deploys a combination of analytical methods across geographies, asset classes and investment horizons to make investment decisions. We monitor global macroeconomic trends and money flow before applying traditional fundamental techniques to our valuation models and we use data derived statistical methods to enhance returns and best execution.

We have developed in house risk and portfolio simulators to optimize client investment strategies in accordance with a thorough understanding of their investment objectives, which are proactively reviewed and discussed with our clients as markets, conditions and opportunities arise.

Alpha generation for short and medium term investment horizons are created by highly accessible statistical, machine learning and artificial intelligence techniques which are the backbone of our decision making process in multiple asset classes. Our models are designed to optimize returns within a given set of risk parameters, specified by our clients depending on the outcome they are looking to achieve. We then perform simulations on our historical data universe to solve for the best fit and most efficient investment portfolio. Robin Capital subjects all portfolios to data backed stress tests and simulations, uses highly liquid trading vehicles to take risk and validates its investment decisions by data driven processes.

Additionally, we rely on systematic market data collection, trade signaling, position monitoring, risk fuses and alerts, along with automated executions in most of our asset classes in order to deploy our investment strategies to further enhance the returns for our clients.

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## **RISK OF LOSS**

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Any investment carries a certain degree of risk, including a possible loss of principal that clients should be prepared to bear. The value of securities in all portfolios that we invest may go up or down in response to factors not within our control, such as but not limited to the status of an individual company underlying a security, or the general economic climate. There is no guarantee that any of the investment strategies that our Firm employs will outperform the selected market index used as a benchmark. Past performance is no guarantee of future results.

Securities investments are not guaranteed, and you may lose money on your investments. We ask that you work with us to help us understand your tolerance for risk. The investment risks described below may not be all-inclusive but should be considered carefully:

**Equity Securities:** Securities can decline in value over short or extended periods as a result of changes in the issuer's financial condition and in overall market, economic and political conditions.

- **Financial Risk:** Excessive use of credit (borrowing) to finance a business' operations increases the risk of profitability, because the company must cover its debt obligations in good and bad years.
- **Business Risks:** The companies identified for investment face a wide variety of operational risks, including competitive threats, regulatory changes, execution challenges, and responses to external changes. For businesses listed on US exchanges, the Securities and Exchange Commission requires companies to disclose the most significant risk factors that could impact the business. However, these disclosures could be incomplete or inaccurate. An assessment of the relevant risk factors for any business could be incomplete or inaccurate. Both unforeseen and known risk factors may transpire, resulting in a deterioration of corporate performance.

**ETF Investing:** ETFs are subject to risks relating to market trading that include the potential lack of an active market for ETF shares and disruptions in the creation and redemption process. Although ETF shares are listed on a national securities exchange, it is possible that an active trading market in the shares of a particular ETF may not develop or be maintained, particularly during times of severe market disruption. If ETF shares need to be sold when trading markets are not properly functioning, the ETF shares may be sold at a significant discount to their Net Asset Value (“NAV”). An ETF also may be subject to stock market risk, which is the chance that stock prices overall will decline. Stock markets tend to move in cycles, with periods of rising prices and periods of falling prices. In addition, if an ETF’s underlying or target index becomes focused in stocks of a particular market sector, the ETF would have proportionately higher exposure to the risks of that sector.

**Fixed Income Risk:** When investing in bonds, there is the risk that the issuer will default on the bond and be unable to make payments. Further, individuals who depend on set amounts of periodically paid income face the risk that inflation will erode their spending power. Fixed-income investors receive set, regular payments that face the same inflation risk.

**Credit Risk:** U.S. Treasury securities are backed by the full faith and credit of the U.S. Government and these securities are deemed to carry minimal credit risk. Credit risk is the risk that the issuer of a debt security would fail to repay principal and interest when due.

**American Depository Receipt (ADR) Risk:** These shares are issued by non-US companies. Non-US companies or international companies have additional risks compared to US companies:

- Exchange rate risk: Changes in the currency of the home country of the company could have an adverse impact on the ADR share price.
- Political risk: Political instabilities in the home country of the company could have an adverse impact on the ADR share price.
- Inflation risk: High inflation in the home country of the company would devalue the country currency and in turn would have an adverse impact on the ADR share price.

**Interest Rate Risk:** U.S. Treasury securities provide a steady stream of income; however these securities’ prices would still fluctuate with changes in interest rates. When interest rates rise, bond prices fall; and when interest rates fall, bond prices rise. Additionally, longer maturity bond prices are more sensitive to interest rate movements than those of the bonds with shorter maturities. The exception to this is U.S. Treasury floating rate note (FRN), for which the price tends to increase in a rising interest environment as coupon payments reset at the prevailing higher rates.

**Options Risk:** Options on securities may be subject to greater fluctuations in value than an investment in the underlying securities. Purchasing and writing put and call options are highly specialized activities and entail greater than ordinary investment risks.

**Model Risk:** We make extensive use of quantitative models for a wide range of applications, including but not limited to risk management, valuation, stress testing and financial/regulatory reporting. Models are generally used to generate estimates, which as estimates are not accurate actual numbers. Model usage exposes a financial institution to model risk, which typically involves

the possibility of a financial loss, incorrect business decisions, misstatement of external financial disclosures or damage to the company's reputation arising from items such as:

- Errors in the model design and development process (including the design and development of changes to existing models);
- Errors in the data, theory, statistical analysis, assumptions or computer code underlying a model;
- Use of models whose performance does not meet industry and/or company standards; and
- Possible errors in the model production process, such as errors in data inputs and assumptions, or errors in model execution.

**Market Risk:** Market Risk is the risk that various factors may affect security prices, including but not limited to monetary & fiscal policies, political developments, natural disasters, wars and terrorist attacks.

**Reinvestment Risk:** Reinvestment Risk is the risk that the future cash flows of coupon and principal payments, related to bond investments, may be reinvested at a reduced rate in a lower interest rate environment.

**Liquidity Risk:** Liquidity is the ability to convert an investment into cash. Investment assets are usually more liquid when established markets exist to trade those securities. For instance, U.S. Treasury bills and most equity securities have highly developed markets, while tangible property, such as real estate and precious stones, are less liquid. In case of extreme market activity, we may be unable to liquidate investments in thinly traded and relatively illiquid securities promptly if necessary. Also, sales of thinly traded securities could depress the market value of those securities and reduce the investments' profitability or increase its losses.

**Omission of Risks:** This Brochure does not provide a comprehensive list of every source of risk. Every potential outcome of an investment cannot be predicted, and it cannot disclose every potential risk factor for every investment to clients. The value of securities that the Firm invests in may go up or down in response to factors not within our Firm's control, including but not limited to the status of an individual company underlying a security, or the general economic climate. Clients may suffer losses for any reason or no discernible reason.

**Fundamental Analysis:** Forecasting financial performance is an inexact process of estimation that relies on the accuracy of financial and industry data provided by companies and third parties. This data may contain material errors or omissions. Investing on the basis of fundamental research may also result in errors of judgment or analysis. Investment performance may suffer if the assessment of a business or its prospects is incorrect, and even a correct analysis could result in a loss of capital.

**Interim Underperformance:** The long-term and concentrated nature of a strategy means that even if the strategy is "working properly" and the analysis is correct and leads to profitable realized outcomes, clients may experience multi-year periods of significant underperformance relative to market indexes and other investment strategies. This interim underperformance poses a significant risk of permanent capital loss for clients with short time horizons or who require withdrawals from their account.

**Systemic Risk:** Our Firm relies on the stability of the overall financial system to implement its investment strategy. The security of client assets depends on the solvency of a third-party custodian and brokerage Firm, upon which the Firm also relies for prime brokerage and trading services. In the event of a disruption to the custodian's business or the overall functioning of securities markets, the Firm may be unable to implement its investment strategy and clients may experience a significant or complete loss of their capital.

**Strategy:** The Firm cannot guarantee that its strategy will be implemented at all times, or in full. The Firm has full discretion and a broad mandate, and it may make investments not in keeping with the general description provided in this Brochure. There can be no guarantee that suitable investment opportunities will be available at all times.

**Management:** The Firm is dependent on the services of its members. If a significant portion of firm members were incapacitated or otherwise unable to continue providing services, the Firm would not be able to continue to implement its strategy and clients could experience a significant or total loss of capital.

**Public Health Crisis Risks:** A public health crisis, pandemic, epidemic or outbreak of a contagious disease, such as the recent outbreak of Coronavirus (or COVID-19), could have an adverse impact on global, national and local economies, which in turn could negatively impact our investments and strategies. Disruptions to commercial activity resulting from the imposition of quarantines, travel restrictions or other measures, or a failure of containment efforts, may adversely affect our investments in various ways, including but not limited to, decreased demand, supply chain delays, disruptions or staffing shortages. The outbreak of Coronavirus has contributed to, and may continue to contribute to, volatility in financial markets, including market liquidity and changes in interest rates. A continued outbreak may have a material and adverse impact on our investment returns. The impact of a public health crisis such as the Coronavirus (or any future pandemic, epidemic or outbreak of a contagious disease) is difficult to predict, which presents material uncertainty and risk with respect to the performance of our investments and strategies.

**Investors should be aware their investment is not guaranteed and understand that there is a risk of loss of value in their investment.**

## **Item 9 Disciplinary Information**

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### **REQUIRED DISCLOSURES**

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Our Firm and our management persons have not been involved in any legal or disciplinary events that would have a material adverse effect on the integrity of our management or the services we provide to our clients.

## Item 10 Other Financial Industry Activities and Affiliations

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### OUTSIDE BUSINESS ACTIVITIES

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Neither Robin Capital nor the Managing Members are registered, or have an application pending to register, as a broker-dealer or registered representative of a broker dealer, futures commission merchant, commodity pool operator, a commodity trading advisor, or an associated person of the foregoing entities. Nicolas Diaz, Managing Member, Founder and Chief Investment Officer, has three outside business activities, each legally separate from Robin Capital Group LLC with no shared clients, operations, or compensation arrangements.

(1) Rookery Management Group: Mr. Diaz is a co-founder of and passive investor in Rookery Management Group, a Florida real estate investment and management firm. He serves on Rookery's investment review committee in an advisory capacity. Rookery is managed and operated entirely by its own management team. Mr. Diaz devotes no material time to Rookery and receives no compensation from Rookery through Robin Capital. No Robin Capital client has any connection to Rookery.

(2) Nezumia LLC: Mr. Diaz is a co-founder and co-owner of Nezumia LLC, a Delaware LLC formed in 2021, which operates a fully autonomous, AI-driven quantitative investment strategy. All investment and trading decisions are generated by proprietary AI models and transmitted to brokers via automated API with no human intervention during normal operations. Mr. Diaz's role is limited to alpha research, quantitative model design, and emergency risk management. Nezumia currently manages founder capital only and has no outside investors. Nezumia is entirely separate from Robin Capital and shares no clients, systems, or infrastructure with Robin Capital.

(3) Everwood USA: Mr. Diaz is an owner and member of Everwood USA (everwood-usa.com), a recycled plastics manufacturing company. Everwood USA is managed and operated entirely by its own management team. Mr. Diaz provided the initial funding and retains an ownership interest but has no operational involvement and receives no compensation from Everwood USA through Robin Capital. Everwood USA has no relationship with Robin Capital Group LLC.

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### AFFILIATED ENTITIES

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Robin Capital is not affiliated with any business entities.

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### OTHER INVESTMENT ADVISERS

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Robin Capital does not recommend or select other investment advisers for our clients, and that Robin Capital does not receive compensation directly or indirectly from any other investment advisers that creates a material conflict of interest. Robin Capital does not have any business relationships with other investment advisers that creates a material conflict of interest for our clients.

## **Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

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### **CODE OF ETHICS**

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Robin Capital has adopted a Code of Ethics (the “Code”) that sets forth high ethical standards of business conduct that we require of our employees, including compliance with applicable federal securities laws.

Robin Capital and our personnel owes a duty of loyalty, fairness and good faith towards our clients, and have an obligation to adhere not only to the specific provisions of the Code of Ethics but to the general principles that guide the Code.

Our Code of Ethics includes policies and procedures for the review of quarterly securities transactions reports as well as initial and annual securities holdings reports that must be submitted by the Firm’s access persons. Among other things, our Code of Ethics also requires the prior approval of any acquisition of securities in a limited offering (e.g., private placement) or an initial public offering. Our code also provides for oversight, enforcement and recordkeeping provisions.

Clients and prospective clients may request a full copy of our Firm’s Code of Ethics by contacting our Firm in writing at [info@robincapitalgroup.com](mailto:info@robincapitalgroup.com) or calling our Firm at 305-905-4969.

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### **PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS**

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Robin Capital and/or our advisor(s) has the ability to buy for their personal accounts the same securities that are recommended to and/or purchased for our clients. Conflicts of interest arises when Robin Capital and/or our advisor(s) has the incentive to trade the same securities that are recommended to and/or purchased for our clients ahead of executing clients’ orders, in favor of their personal accounts. Clients in which Robin Capital and/or our advisors has a material financial interest.

Robin Capital and its advisor(s) will not buy or sell securities for their personal account(s) where their decision is derived, in whole or in part, by information obtained as a result of employment or association with our firm unless the information is also available to the investing public upon reasonable inquiry.

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### **PERSONAL TRADING**

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Robin Capital maintains and enforces written policies and procedures reasonably designed to prevent the misuse of material non-public information by our Firm or any access persons of our Firm with regards to their personal securities transactions. Personal trading activities are prohibited and continually monitored to reasonably prevent conflicts of interest.

**CCO Independence — Spousal Relationship Disclosure.** Ashley Schott, the Firm’s Managing Director and Chief Compliance Officer, is married to Nicolas Diaz, the Firm’s Managing Member, Founder and Chief Investment Officer. The Firm discloses this relationship because it represents a potential conflict of interest with respect to the independence of the compliance function. To address this conflict, the Firm has established the following practices and procedures:

- (i) Mr. Diaz’s responsibilities are limited to investment management and he does not participate in compliance determinations, regulatory filings, or client complaint handling;
- (ii) Ms. Schott independently reviews Mr. Diaz’s trading activity and personal account transactions and her compliance decisions are not subject to review or override by Mr. Diaz; and
- (iii) In the event a compliance matter involves Mr. Diaz’s conduct, the Firm has established an escalation procedure to outside legal counsel to ensure independent review, as documented in the Firm’s compliance manual.

**Principal Accounts — Conflict of Interest.** Nicolas Diaz, the Firm’s Managing Member, Founder and Chief Investment Officer, is also the Firm’s largest client. Mr. Diaz manages his personal and family assets through Robin Capital using the same discretionary investment strategies offered to all clients on identical terms. This creates a potential conflict of interest because, as the Firm’s investment decision-maker and its largest beneficiary, Mr. Diaz could theoretically favor his own accounts in trade execution or allocation decisions. Robin Capital has addressed this conflict structurally: all securities transactions are executed through Interactive Brokers’ block trading and model portfolio execution system, under which Interactive Brokers allocates executed trades across all accounts at a uniform price. The principal’s accounts receive the same execution price and allocation treatment as all other client accounts. No manual trade allocation decisions are made at the adviser level. Clients may obtain more information about trade execution practices in Item 12 of this Brochure.

## Item 12 Brokerage Practices

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### SELECTION OF BROKER-DEALERS

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Securities transactions are generally executed through Interactive Brokers, LLC. (“Interactive Brokers”), member FINRA/SIPC/NYSE. Interactive Brokers maintains custody of our clients’ assets and effect securities transactions for our investment management clients’ accounts. Robin Capital is independently owned and operated and is not affiliated with or a related person of Interactive Brokers.

Robin Capital considers a number of factors prior to recommending a particular broker-dealer to our clients, including but not limited to, their familiarity with the securities to be sold or purchased, their execution skills, order-flow capabilities, their commission rates or other fee schedules, their custodial services, their level of net capital (financial strength) and excess SIPC and other insurance coverage. The commissions charged by Interactive Brokers is competitive with similarly situated retail broker-dealers offering the same variety of securities to clients. Clients are advised, however, that they may be able to effect transactions in securities through other broker-dealers at

lower commission rates, particularly with respect to securities listed on a national securities exchange or in the over-the-counter market.

**Research and Other Soft Dollar Benefits.** Robin Capital does not participate in soft-dollar arrangements with Interactive Brokers. Robin Capital does not participate in any commission-sharing arrangements or receive soft dollar credits. While the benefits we receive from Interactive Brokers does not depend on the amount of brokerage transactions directed to Interactive Brokers, as a fiduciary we are required to disclose that there is an inherent conflict of interest when our Firm recommends that clients maintain their assets at Interactive Brokers. These recommendations may be based in part on the benefits we receive from Interactive Brokers, such as the availability of the abovementioned products and services, and not solely on our clients' interest in receiving most favorable execution. Nonetheless, we seek to ensure that the securities transactions effected for our clients represent the best qualitative execution, not just the lowest possible cost.

Our Firm routinely compares order execution disclosure information at Interactive Brokers to other broker-dealers to ensure that Interactive Brokers remains competitive in providing best execution for our clients' securities transactions. Although the brokerage commissions and/or transaction fees charged by Interactive Brokers may be higher or lower than those charged by other broker-dealers, in seeking best execution for our clients our Firm strives to ensure that our clients pay brokerage commissions and/or transactions fees which we have determined, in good faith, to be reasonable in relation to the value of the brokerage and other services provided by Interactive Brokers.

**Brokerage for Client Referrals.** Robin Capital does not consider broker-dealer or third-party referrals in selecting or recommending broker-dealers to our clients as this would create a conflict of interest.

**Directed Brokerage.** While Robin Capital requires clients to use Interactive Brokers, we do not have discretionary authority to determine the commission rates paid to Interactive Brokers for client securities transactions. Clients should understand that by directing brokerage to Interactive Brokers, you may be unable to achieve most favorable execution of client transactions, and that this practice may cost you more money.

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## **TRADE AGGREGATION**

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As a matter of policy and practice, Robin Capital prefers and will utilize block client trades when appropriate. For example, if a certain security is trading at what we believe is an attractive price, Robin Capital can purchase a large quantity of shares (a block) and distribute the shares among the clients, rather than having to make multiple purchases of smaller quantities.

Clients should be aware that often times Robin Capital will implement client transactions separately for each account. Consequently, certain client trades may be executed before others, at a different price and/or commission rate.

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## **TRADE ERRORS**

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From time to time, our Firm may make a trade error when servicing a client's account. When this occurs, we will correct the trade as soon as we discover the error. Trading errors will be corrected at no cost to clients. In most cases, we will correct trade errors via our executing broker-dealer's trade error desk. If there is a cost associated with this correction, such cost is borne by Robin Capital and not the client. Note that we do not credit accounts for market losses unrelated to our error.

## **Item 13 Review of Accounts**

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### **ACCOUNT REVIEWS**

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Robin Capital conducts account reviews on a monthly basis for clients subscribed to our investment management services. The frequency of the review depends upon a variety of factors such as: the client's risk profile, activity in the account, economic and market conditions, and the client's preferences, if any. Additional reviews may be triggered by changes in the investment objectives or guidelines for a particular client or specific arrangements with the client.

Accounts are reviewed in the context of the investment objectives and guidelines of each model portfolio as well as any investment restrictions provided by the client. More frequent reviews may be triggered by material changes in variables such as the client's individual circumstances, or the market, political or economic environment. These accounts are reviewed by Ashley Schott, Chief Compliance Officer.

Formal client review meetings are generally conducted annually or when a client requests to have a review meeting or when a client indicates to make significant changes to the existing client agreement. During these reviews, any changes in the client's investment objectives are discussed so we can review our previous recommendations and make any necessary adjustments.

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### **ACCOUNT REPORTS**

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Those clients to whom Robin Capital provides investment management services receive at least quarterly reports from our Firm summarizing their account(s) and investment results. Reports may be furnished in writing or electronically as requested by the client. Clients are urged to compare the account statements they receive from their qualified custodian(s) to any written reports received from our Firm.

Clients have direct and continuous access to their account information and related documents via the password-protected website(s) of the qualified custodian(s) with which their accounts are held.

## **Item 14 Client Referrals and Other Compensation**

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### **CLIENT REFERRALS**

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Robin Capital does not compensate third-parties (or “solicitors”) to promote the investment advisory services offered by our Firm.

It is our Firm’s policy not to compensate clients for referring potential clients to our Firm.

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### **OTHER COMPENSATION**

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Robin Capital Group does not receive an economic benefit from anyone who is not a client in exchange for our provision of investment advice or other advisory services.

## **Item 15 Custody**

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### **CUSTODY OF CLIENT FUNDS AND SECURITIES**

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Pursuant to 69W-600.0132 (1)(a)1b of the Florida Administrative Code, in the state of Florida, Robin Capital is considered to have custody of client funds solely as a consequence of our ability to deduct fees from client accounts through the qualified custodian. Please refer to Item 5 for a detailed description of the direct fee deduction procedures. Robin Capital does not have physical custody of client funds or securities.

Interactive Brokers is the qualified custodian and maintains custody of client funds in separate brokerage account(s) for each client under the client’s name. Robin Capital personnel may assist the client in preparing paperwork to open a new brokerage account at Interactive Brokers, but only the client is permitted to authorize, by their signature, the opening of the account. Interactive Brokers sends an account-opening letter to each client at their physical mailing address after the account is approved.

Clients can access daily, monthly and annual account statements as well as daily trade confirmations through a password protected portion of Interactive Brokers’ website, [www.interactivebrokers.com](http://www.interactivebrokers.com). Clients should also expect to receive quarterly account summaries from the qualified custodian by first-class mail. Clients should carefully review the account statements and summaries received from the qualified custodian(s) and compare such official custodial statements to any account reports provided by Robin Capital. Any client that does not receive an account statement or summary from the qualified custodian should call our Firm immediately so that we can arrange to have another statement sent by the custodian.

Clients can opt in to receive account statements and summaries electronically by logging in to their account at Interactive Brokers and selecting the appropriate delivery option of the account statements and summaries.

Clients can also access information concerning their account(s) and access (and generally change) the settings for their brokerage account online on the Interactive Brokers website at [www.interactivebrokers.com](http://www.interactivebrokers.com).

## **Item 16 Investment Discretion**

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### **DISCRETIONARY AUTHORITY**

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Robin Capital generally manages client securities portfolios on a discretionary basis only. Robin Capital is granted limited discretionary authority in writing by the client at the outset of the advisory relationship. This limited discretionary authorization gives Robin Capital the authority to manage the client's investment assets at our Firm's sole discretion and without consulting with the client in advance, subject to the investment objectives, guidelines and restrictions set by the client. This authorization will remain in full force and effect until we receive a written termination notice of the Investment Management Agreement from the client.

## **Item 17 Voting Client Securities**

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### **AUTHORITY TO VOTE CLIENT PROXIES**

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Robin Capital does not accept authority from clients with respect to voting of proxies solicited by, or with respect to, the issuers of any securities held in client portfolios. The qualified custodian(s) holding clients' assets will send all such proxy documents it receives to the client so that the client may take whatever action the client deems appropriate. Robin Capital does not offer clients any consulting assistance regarding proxy issues. Clients may not contact us with questions about a particular solicitation.

## **Item 18 Financial Information**

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### **REQUIRED DISCLOSURES**

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Robin Capital does not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance. Robin Capital may receive limited discretionary authority from clients. However, Robin Capital has no financial commitments that would impair our Firm's ability to meet our contractual and fiduciary commitments to our clients and has not been the subject of a bankruptcy proceeding.

## Item 19 Requirements for State-Registered Advisers

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### EXECUTIVE OFFICERS AND MANAGEMENT PERSONS

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**Name:** Nicolas Diaz

**Year of Birth:** 1981

**Education:** M.S. in Finance, Universidad Adolfo Ibanez, Santiago, Chile; 2005  
B.S. in Engineering, Universidad Adolfo Ibanez, Santiago, Chile; 2004

Robin Capital Group LLC  
Managing Member, Founder & Chief Investment Officer  
Miami Beach, FL  
January 2026 – Present  
(Chief Compliance Officer (September 2020 – January 2026))

Citadel Securities Europe, LLC  
Trader  
London, UK  
March 2017 – March 2019

Citadel Securities, LLC  
Trader  
Chicago, IL  
September 2014 – November 2019

Citadel, LLC  
Second Vice President  
Chicago, IL  
May 2011 – August 2014

Bank of America NA  
Trading Assistant  
Chicago, IL  
September 2007 – May 2011

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### OUTSIDE BUSINESS ACTIVITIES

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Mr. Diaz participates in the following outside business activities, each fully separate from Robin Capital Group LLC: (1) Rookery Management Group — co-founder and investor, serves on advisory committee, no day-to-day involvement; (2) Nezumia LLC — co-founder and co-owner, autonomous AI-driven investment venture managing founder capital only, Mr. Diaz's role limited

to model design and emergency risk management; (3) Everwood USA — owner and member, recycled plastics manufacturing company, Mr. Diaz provided initial funding and retains ownership interest with fully delegated operations. None of these activities involve Robin Capital clients or create a conflict of interest with Robin Capital’s advisory services. See Item 10 of the Firm Brochure for full disclosure.

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**PERFORMANCE-BASED FEES**

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Robin Capital charges our clients’ fees that are based on performance of the assets in their accounts. Please refer to Item 5 and Item 6 for more information.

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**LEGAL OR DISCIPLINARY EVENTS**

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Neither Robin Capital nor our management persons have been involved or been found liable in any arbitration claims alleging damages in excess of \$2,500 or been involved or been found liable in any civil, self-regulatory organization, or administration proceeding.

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**ISSUERS OF SECURITIES**

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Neither Robin Capital nor our management persons have any relationships or arrangements with any issuers of securities.

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**BUSINESS CONTINUITY PLAN**

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Robin Capital maintains a written Business Continuity Plan. Clients may request a copy by writing directly to our Firm.

**Form ADV Part 2B: Brochure Supplement  
Item 1 Cover Page**

**Nicolas Diaz**  
*for*  
**Robin Capital Group LLC**  
**11 Island Ave, Unit 1107**  
**Miami Beach, Florida 33139**  
**[www.robincapitalgroup.com](http://www.robincapitalgroup.com)**

This brochure supplement provides information about Nicolas Diaz that supplements Robin Capital Group LLC Firm Brochure. You should have received a copy of that brochure. Please contact us at 305-905-4969 and/or [info@robincapitalgroup.com](mailto:info@robincapitalgroup.com). If you did not receive Robin Capital Group LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Nicolas Diaz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority. Additional information about Robin Capital Group LLC also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. Our Firm's CRD number is 312956.

Robin Capital Group LLC's registration as an investment adviser does not imply a certain level of skill or training.

Effective Date: March 31, 2026

**SUPERVISED PERSON: Nicolas Diaz (CRD#6391739)**

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**ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

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**Name:** Nicolas Diaz

**Year of Birth:** 1981

**Education:** M.S. in Finance, Universidad Adolfo Ibanez, Santiago, Chile; 2005  
B.S. in Engineering, Universidad Adolfo Ibanez, Santiago, Chile; 2004

**Experience:** Robin Capital Group LLC  
Managing Member, Founder & Chief Investment Officer  
Miami Beach, FL  
September 2020 – Present  
(Chief Compliance Officer September 2020 – January 2026)

Citadel Securities Europe, LLC  
Trader  
London, UK  
March 2017 – March 2019

Citadel Securities, LLC  
Trader  
Chicago, IL  
September 2014 – November 2019

Citadel, LLC  
Second Vice President  
Chicago, IL  
May 2011 – August 2014

Bank of America NA  
Trading Assistant  
Chicago, IL  
September 2007 – May 2011

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**ITEM 3 DISCIPLINARY INFORMATION**

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Mr. Diaz has not been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction, an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority and/or a self-regulatory organization (SRO) proceeding that would be material to a client's or prospective client's evaluation of the integrity of our management.

**Robin Capital Group LLC**

Form ADV Part 2B — Nicolas Diaz, Managing Member, Founder & Chief Investment Officer

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#### **ITEM 4 OTHER BUSINESS ACTIVITIES**

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Mr. Diaz participates in the following outside business activities, each legally separate from Robin Capital Group LLC with no shared clients, operations, or compensation arrangements: (1) Rookery Management Group — Mr. Diaz is a co-founder of and passive investor in Rookery Management Group, a real estate investment firm. He serves on Rookery's investment review committee in an advisory capacity. Rookery is managed and operated entirely by its own management team, and Mr. Diaz devotes no material time to Rookery and receives no compensation from Rookery through Robin Capital. (2) Nezumia LLC — Mr. Diaz is a co-founder and co-owner of Nezumia LLC, a Delaware LLC formed in 2021, co-founded with Patrick Huggins. Nezumia operates a fully autonomous AI-driven quantitative investment strategy managing founder capital only. Mr. Diaz's role is limited to alpha research, quantitative model design, and emergency risk management only; he does not make, approve, or influence individual trade decisions during normal operations. (3) Everwood USA — Mr. Diaz is an owner and member of Everwood USA, a recycled plastics manufacturing company. He provided the initial funding and retains an ownership interest, with day-to-day operations fully delegated to the company's management team. Mr. Diaz devotes no material time to Everwood USA and receives no compensation from it through Robin Capital.

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#### **ITEM 5 ADDITIONAL COMPENSATION**

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Other than his compensations from our Firm, Mr. Diaz does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services.

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#### **ITEM 6 SUPERVISION**

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Mr. Diaz is the Managing Member, Founder and Chief Investment Officer of Robin Capital Group LLC. He exercises sole discretion over the Firm's investment strategies, portfolio construction, risk management, and alpha development. He does not participate in compliance determinations, regulatory filings, or operational and administrative matters, which are the responsibility of the Firm's Chief Compliance Officer, Ashley Schott. Mr. Diaz adheres to the Firm's Code of Ethics and policies and procedures. His trading activity and personal securities transactions are reviewed by Ashley Schott in her capacity as Chief Compliance Officer. Ms. Schott and Mr. Diaz are married; the Firm's compliance manual includes specific procedures governing the independence of compliance oversight with respect to the principal. In the event a compliance matter involves Mr. Diaz's conduct, escalation procedures to outside counsel are in place as described in the Firm's compliance manual and in Ms. Schott's Part 2B supplement. Ms. Schott may be contacted at [aschott@robincapitalgroup.com](mailto:aschott@robincapitalgroup.com) or 305-905-7769.

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#### **ITEM 7 REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

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Mr. Diaz has not been involved or been found liable in any arbitration claims alleging damages in excess of \$2,500, in any civil, self-regulatory organization, or administration proceeding, or been subject of any bankruptcy petition.

#### **Robin Capital Group LLC**

Form ADV Part 2B — Nicolas Diaz, Managing Member, Founder & Chief Investment Officer

**Form ADV Part 2B: Brochure Supplement  
Item 1 Cover Page**

**Ashley Elizabeth Schott**  
*for*  
**Robin Capital Group LLC**  
**11 Island Ave, Unit 1107**  
**Miami Beach, Florida 33139**  
**[www.robincapitalgroup.com](http://www.robincapitalgroup.com)**

This brochure supplement provides information about Ashley Elizabeth Schott that supplements the Robin Capital Group LLC Firm Brochure. You should have received a copy of that brochure. Please contact us at 305-905-4969 and/or [info@robincapitalgroup.com](mailto:info@robincapitalgroup.com) if you did not receive Robin Capital Group LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Robin Capital Group LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority. Additional information about Robin Capital Group LLC also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. Our Firm's CRD number is 312956.

Robin Capital Group LLC's registration as an investment adviser does not imply a certain level of skill or training.

Effective Date: March 31, 2026

**SUPERVISED PERSON: Ashley Elizabeth Schott (CRD# 5703489)**

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**ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

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**Name:** Ashley Elizabeth Schott

**Year of Birth:** 1984

**Education:** B.A. in Environmental Studies, University of British Columbia, Vancouver, Canada; 2007

**Experience:** Robin Capital Group LLC  
Managing Director & Chief Compliance Officer  
Miami Beach, FL  
January 2026 – Present

Career break – full-time family responsibilities  
March 2017 – December 2025

Wintrust Wealth Management  
Registered Representative  
Chicago, IL  
September 2010 – March 2017

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**ITEM 3 DISCIPLINARY INFORMATION**

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Ms. Schott has not been involved in any legal or disciplinary events that would be material to a client's or prospective client's evaluation of her integrity or ability to serve as Chief Compliance Officer. Specifically, Ms. Schott has no criminal, regulatory, civil, arbitration, or financial disclosure events to report.

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**ITEM 4 OTHER BUSINESS ACTIVITIES**

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Ms. Schott does not participate in any outside business activities beyond her role at Robin Capital Group LLC.

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**ITEM 5 ADDITIONAL COMPENSATION**

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Other than her compensation from Robin Capital Group LLC, Ms. Schott does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory or compliance services.

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**ITEM 6 SUPERVISION**

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Ms. Schott serves as the Firm's Chief Compliance Officer and is responsible for the Firm's compliance program, regulatory filings, and oversight of the Firm's policies and procedures,

**Robin Capital Group LLC**

Form ADV Part 2B — Ashley Elizabeth Schott, Managing Director & Chief Compliance Officer

including the Code of Ethics. She monitors electronic communications and reviews trade confirmations and personal securities transactions for all access persons, including Nicolas Diaz, Managing Member, Founder and Chief Investment Officer.

Ms. Schott and Mr. Diaz are married. The Firm discloses this relationship because it represents a potential conflict of interest with respect to the independence of the compliance function. To address this conflict: (i) Mr. Diaz's responsibilities are limited to investment management and he does not participate in compliance determinations; (ii) Ms. Schott's compliance decisions are not subject to review, override, or approval by Mr. Diaz; and (iii) in the event a compliance matter involves Mr. Diaz's conduct specifically, Ms. Schott is authorized and directed to escalate to outside legal counsel for independent review. This escalation procedure is documented in the Firm's compliance manual. Ms. Schott may be contacted directly at [aschott@robincapitalgroup.com](mailto:aschott@robincapitalgroup.com) or 305-905-7769.

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#### **ITEM 7 REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

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Ms. Schott has passed the Series 65 Uniform Investment Adviser Law Examination (January 26, 2026). Ms. Schott has not been involved or been found liable in any arbitration claims alleging damages in excess of \$2,500, in any civil, self-regulatory organization, or administration proceeding, or been subject of any bankruptcy petition.